

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: James O. Farmer et al. / ENABLENCE USA FTTX NETWORKS INC.

Application No./Patent No.: 09/899,410 / 6,973,271 Filed/Issue Date: July 5, 2001 / December 6, 2005

Titled:

ENABLENCE USA FTTX NETWORKS INC., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
 2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
 3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
- the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 021617, Frame 0501, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 3-2.05]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/SPW/

Signature

Steven P. Wigmore, Reg. No. 40,447

Printed or Typed Name

June 12, 2009

Date

Lawyer- Customer No.35856

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 6

Patent #: 6973271 **Issue Dt:** 12/06/2005 **Application #:** 09899410 **Filing Dt:** 07/05/2001
Publication #: 2002039218 **Pub Dt:** 04/04/2002
Inventors: James O. Farmer, John J. Kenny, Patrick W. Quinn, Thomas A. Tighe, Paul F. Whittlesey et al
Title: SYSTEM AND METHOD FOR COMMUNICATING OPTICAL SIGNALS BETWEEN A DATA SERVICE PROVIDER AND SUBSCRIBERS

Assignment: 1

Reel/Frame: 011972/0470 **Recorded:** 07/05/2001 **Pages:** 9

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: FARMER, JAMES O. **Exec Dt:** 06/29/2001
KENNY, JOHN J. **Exec Dt:** 06/29/2001
QUINN, PATRICK W. **Exec Dt:** 06/29/2001
TIGHE, THOMAS A. **Exec Dt:** 06/29/2001
WHITTLESEY, PAUL F. **Exec Dt:** 06/29/2001
VELLA, EMMAJUEL A. **Exec Dt:** 06/29/2001

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Assignment: 2

Reel/Frame: 013013/0039 **Recorded:** 06/20/2002 **Pages:** 11

Conveyance: SECURITY AGREEMENT

Assignor: WAVE7 OPTICS, INC. **Exec Dt:** 05/23/2002

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Assignment: 3

Reel/Frame: 020825/0052 **Recorded:** 04/17/2008 **Pages:** 3

Conveyance: RELEASE

Assignor: SILICON VALLEY BANK **Exec Dt:** 04/11/2008

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Assignment: 4

Reel/Frame: 020817/0818 **Recorded:** 04/18/2008 **Pages:** 9

Conveyance: SECURITY AGREEMENT

Assignor: WAVE7 OPTICS, INC. **Exec Dt:** 04/14/2008

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Assignment: 5

Reel/Frame: 020926/0779 **Recorded:** 05/22/2008 **Pages:** 3

Conveyance: RELEASE BY SECURED PARTY (SEE DOCUMENT FOR DETAILS).

Assignor: ENABLENCE **Exec Dt:** 05/20/2008

Assignee: WAVE7 OPTICS, INC.
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Assignment: 6

Reel/Frame: 021617/0501 **Recorded:** 10/02/2008 **Pages:** 5

Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

Assignor: WAVE7 OPTICS, INC. **Exec Dt:** 09/09/2008

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